

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5 :

H04B 7/005

A1

(11) International Publication Number:

WO 92/11708

(43) International Publication Date:

9 July 1992 (09.07.92)

(21) International Application Number: PCT/US91/09479

(22) International Filing Date: 17 December 1991 (17.12.91)

(30) Priority data:
630,922

20 December 1990 (20.12.90) US

(71) Applicant: MOTOROLA, INC. [US/US]; 1303 East Algonquin Road, Schaumburg, IL 60196 (US).

(72) Inventors: LABEDZ, Gerald, P. ; 7406 North Talman, Chicago, IL 60645 (US). KOTZIN, Michael, D. ; 1127 Windbrook Drive #202, Buffalo Grove, IL 60089 (US). SCHULER, Joseph, J. ; 925 Pine Avenue, Roselle, IL 60172 (US). ZIOLKO, Eric, F. ; 810 South Pheasant Walk Drive, Schaumburg, IL 60193 (US).

(74) Agents: PARMELEE, Steven, G. et al.; Motorola, Inc., Intellectual Property Dept., 1303 East Algonquin Road, Schaumburg, IL 60196 (US).

(81) Designated States: AT (European patent), AU, BE (European patent), BR, CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FI, FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, KR, LU (European patent), MC (European patent), NL (European patent), SE (European patent).

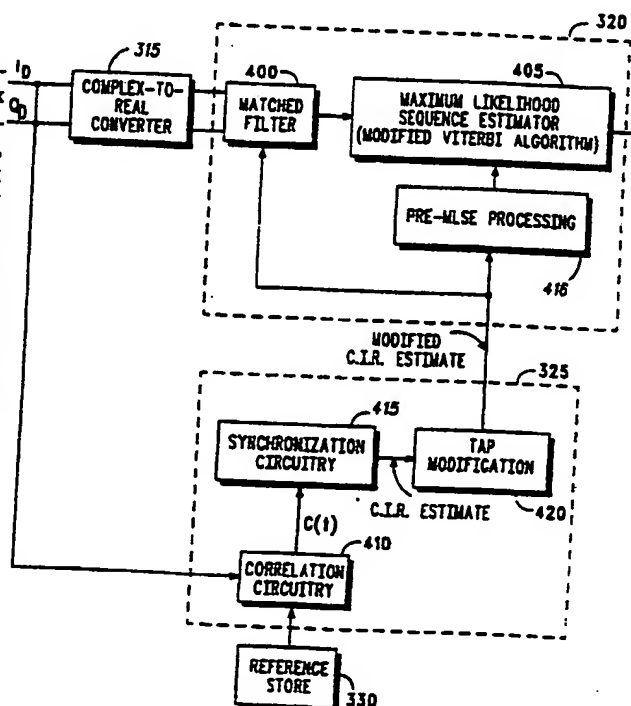
Published

With international search report.

(54) Title: APPARATUS AND METHOD FOR EQUALIZING A CORRUPTED SIGNAL IN A RECEIVER

(57) Abstract

An equalization system for equalizing a corrupted signal is disclosed. The equalization system includes a complex matched filter (400) and a maximum likelihood sequence estimator (MLSE) (405) for removing the effects of phase shift, amplitude variations, intersymbol interference, etc. resulting from multi-pathing and noise contributed by the receiver front end. The system estimates a correlation signal $C(t)$ (505) and synchronizes $C(t)$ (505) to maximize its energy as seen on the taps of the complex matched filter (400). Taps having amplitude coefficients below a predetermined threshold are set to zero to produce a modified CIR estimate. The modified CIR estimate which has had the effects of noise virtually eliminated, is then used to construct the complex matched filter (400) and is also used as input to the MLSE (405) to produce a better equalized data signal.



BEST AVAILABLE COPY

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	ES	Spain	MG	Madagascar
AU	Australia	FI	Finland	ML	Mali
BB	Barbados	FR	France	MN	Mongolia
BE	Belgium	GA	Gabon	MR	Mauritania
BF	Burkina Faso	GB	United Kingdom	MW	Malawi
BG	Bulgaria	GN	Guinea	NL	Netherlands
BJ	Benin	GR	Greece	NO	Norway
BR	Brazil	HU	Hungary	PL	Poland
CA	Canada	IT	Italy	RO	Romania
CF	Central African Republic	JP	Japan	SD	Sudan
CG	Congo	KP	Democratic People's Republic of Korea	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SN	Senegal
CI	Côte d'Ivoire	LJ	Liechtenstein	SU ⁺	Soviet Union
CM	Cameroon	LK	Sri Lanka	TD	Chad
CS	Czechoslovakia	LU	Luxembourg	TG	Togo
DE ^a	Germany	MC	Monaco	US	United States of America
DK	Denmark				

⁺ Any designation of "SU" has effect in the Russian Federation. It is not yet known whether any such designation has effect in other States of the former Soviet Union.

APPARATUS AND METHOD FOR EQUALIZING A CORRUPTED SIGNAL IN A RECEIVER

5

Field of the Invention

This invention relates generally to digital radio receivers and more specifically to receivers demodulating multi-path signals using conventional channel equalization techniques.

Background of the Invention

15 Radio frequency (RF) signals, propagating in typical environments, experience time dispersion. This time dispersion is known as multi-path and is caused by the RF signal being deflected off of various environmental surroundings such as buildings, mountains, moving objects, etc. Such a multi-path signal when received is corrupted by the addition of multiple replicas of the true signal. These replicas have differing amplitude, phase, and time delay with respect to the desired signal. In the receiver, the replicas must be accounted for and compensated for in order to achieve a quality demodulation of the signal. One such corrective measure is to equalize the multi-path signal to essentially achieve such a compensation.

20 Receivers incorporating equalization techniques to reduce the effects of multi-path are well known in the art. One such receiver is described by G. Ungerboeck, "Adaptive Maximum-Likelihood Receiver For Carrier-Modulated Data-Transmission Systems," *IEEE Transactions on Communications*, Vol. Com-22, pp. 624-635, May 1974. Basically, the received signal, possibly corrupted by inter-

symbol interference (ISI) due to the multi-path channel, other signals transmitted on the same RF carrier frequency from other parts of the system, known as co-channel interference, and additive noise due to the receiver front end, is equalized through the use of a maximum likelihood sequence estimator (MLSE) equalizer. The MLSE equalizer employs a complex matched filter (CMF) which is matched to the impulse response of the multi-path channel, and a modified Viterbi algorithm (VA) section, per FIG. 2 in Ungerboeck.

Due to the rapid rate of change of the channel impulse response, coefficients used to construct the complex matched filter, which depend upon an estimate of the channel impulse response, must be generated frequently enough so that the coefficients accurately represent the channel during equalization. These coefficients are typically derived by correlating a predetermined synchronization pattern stored in the receiver with a synchronization pattern modulated with the received signal, the correlation being an estimate of the channel impulse response (there are other techniques, however, which obtain an estimate of the channel impulse response without using an explicit synchronization pattern). The combination of correlating and matched filtering (if the complex matched filter were the correct one) provides the function of removing phase offset between the incoming signal and the receiver's local oscillator and maximizing the signal-to-noise ratio of the received signal. Output from the complex matched filter is passed to the MLSE which accounts for the ISI problem stated earlier. The calculations performed by the MLSE to account for the ISI rely heavily on the channel impulse response estimate and also the complex matched filter derived from the estimated channel impulse response.

In the Group Special Mobile (GSM) Pan European Digital Cellular System, the synchronization sequence used to determine the correlation is relatively short therefore, the complex matched filter coefficients which are produced therefrom are highly susceptible to additive noise, interference, and cross-correlation products. When the correlation is noisy, not only is the matched filtering process adversely affected because the complex matched filter is not properly matched to the channel, but the MLSE processing is also adversely affected as well for the very same reason.

Thus, a need exists for a receiver which improves detection of a corrupted signal using equalization by improving the estimated channel impulse response which is used to generate coefficients for the complex matched filter and also is used by the MLSE.

Summary of the Invention

An equalization system employed in a communication system receiver equalizes a corrupted data signal which has been detected from a radio channel signal received by the communication system receiver. The equalization system estimates a channel profile signal from the corrupted data signal, modifies the channel profile signal and, using the resulting modified channel profile signal, enhances the corrupted data signal.

Brief Description of the Drawings

FIG. 1 generally depicts how multi-path signals may be generated.

FIG. 2 generally illustrates a GSM TDMA timeslot containing the midamble sequence and message sequence.

FIG. 3 depicts a typical quadrature receiver that may be adapted to employ the present invention.

5 FIG. 4 generally depicts in greater detail the equalizer and correlator blocks of a typical quadrature receiver in accordance with the invention.

FIG. 5 illustrates a typical correlation signal $C(t)$ resulting from multi-path reception and an ideal
10 correlation signal $C_I(t)$.

FIG. 6 illustrates how the effects of noise on a typical correlation signal $C(t)$ can be mitigated in accordance with the invention.

FIG. 7 generally illustrates the correlation signal
15 that is used to construct the complex matched filter in accordance with the invention.

Detailed Description of a Preferred Embodiment

20

FIG.1 generally depicts a radio frequency (RF) environment which may generate multi-path signals. A mobile 105 transmits a signal $X(t)$ to a base-station 100, which in the preferred embodiment is a TDMA system but
25 may equivalently be a FDMA system. Another signal, $X(t+T)$ is received by the base-station 100 but is delayed by T seconds due to a reflection off of an object, such as a building 110. The multi-path signal $X(t+T)$ contains the same information or data as signal $X(t)$ but is delayed in
30 time and also has different amplitude and phase characteristics.

FIG. 2 depicts a typical timeslot transmitted by the mobile 105 to the base station 100, and contains the information contained in signal $X(t)$ in FIG.1. In the

preferred embodiment, the TDMA timeslot 210 is 576.9 μ s long and contains 148 bits of data representing both message data and synchronization data. The synchronization sequence or midamble 200 is typically the same for signal X(t) for as long as it is transmitted. The message data 205 contains the actual voice data that the mobile 105 transmits to the base station 100 in the form of signal X(t) in FIG. 1. In the preferred embodiment, message and synchronization data in X(t) is sent every eighth TDMA timeslot 210, or every 4.6152 ms.

FIG. 3 generally depicts an equalizing receiver described by G. Ungerboeck and referenced above which could be adapted in accordance with the invention. In the preferred embodiment, Gaussian Minimum Shift-Keying (GMSK) modulation is employed but other digital signaling schemes such as Quadrature Phase Shift Keying (QPSK) may equivalently be employed. As shown, the receiver comprises an antenna 300 which receives the RF signal X(t) and is coupled to a quadrature demodulator 305. The quadrature demodulator 305 demodulates the RF signal X(t) into an analog in-phase (I_A) signal and an analog quadrature phase (Q_A) signal using a local oscillator (LO) 306 to perform mixing as known in the art. Signals I_A and Q_A have spectra centered at 0 HZ and are input into an analog-to-digital (A/D) converter 310 which converts I_A and Q_A signals into their corresponding digital representation, I_D and Q_D . The signals I_D and Q_D are output from the A/D converter 310 and input into a correlator 325 which is coupled to a reference store 330, which in the preferred embodiment is a read-only-memory (ROM) device. The reference store 330 contains a predetermined synchronization sequence associated with the signal X(t), which in the preferred embodiment is one of eight separate midamble sequences used in the correlation process.

Continuing, prior to the equalizer 320 receiving I_D and Q_D , the correlator 325 correlates the midamble of I_D and Q_D with the stored midamble for that particular TDMA timeslot 210. Output from the correlator 325 is a
5 correlation signal $C(t)$ which is further processed for use by the equalizer 320. The equalizer 320 essentially accounts for the effects of distortion present in the multi-path signal. Output of the equalizer 320 is input into an error correction decoding block 335 which
10 performs signaling specific error correction and message data 205 decoding. The decoded data is ready then for the next processing step.

FIG. 4 depicts in greater detail, the equalizer 320 and correlator 325 in accordance with the invention. As can be
15 seen, the equalizer 320 is comprised of a complex matched filter 400, a maximum likelihood sequence estimator (MLSE) 405, which in the preferred embodiment uses a modified Viterbi algorithm as described by Ungerboeck, and pre-MLSE processing 416 as described by Ungerboeck.
20 The correlator 325 is comprised of correlation/synchronization circuitry 410 and a tap modification block 420. Operation of the circuitry in FIG. 4 is as follows. Signals I_D and Q_D are input into the correlation circuitry 410 as is the appropriate
25 predetermined midamble retrieved from the reference store 330 which then correlates the midamble of signals I_D and Q_D to the predetermined midamble. The correlation is performed during a predetermined time window which is defined by limits 620 in FIG. 6. The window is adjustable
30 in time and is several bits wider than the midamble 200 of a TDMA timeslot 210. Continuing, output from the correlation circuitry 410 is a correlation signal $C(t)$ 505 which essentially depicts in time the correlation performed by the correlation circuitry 410. FIG. 5 depicts

the magnitude of the resulting correlation signal $C(t)$ 505, where the magnitude of the correlation signal $C(t)$ 505 is defined by the equation

$$|C(t)| = \sqrt{I_{DN}^2 + Q_{DN}^2}$$

where I_{DN} and Q_{DN} are the n th sample of I_D and Q_D respectively. Also shown in FIG. 5 is the magnitude of the correlation signal $C_I(t)$ 510 for an ideal correlation signal having no corruption and also free of multi-path effects. The effects of the corruption, and more specifically receiver noise, interference, and cross-correlation products is given by the difference $\Delta C(t)$ between $C_I(t)$ 510 and $C(t)$ 505 as shown in FIG. 5. The areas of $C(t)$ 505 having correlation magnitudes approximately $\Delta C(t)$ above $C_I(t)$ still contain information relating to the message data 205, but for all practical purposes, the information is buried in noise. FIG. 5 also depicts a magnitude peak 515 corresponding to the receiver receiving the true signal $X(t)$ while the magnitude spike 520 represents the receiver receiving the replica signal $X(t+T)$ as shown in FIG. 1.

As is well known in the art, the complex matched filter 400 is constructed on a TDMA timeslot-to-timeslot basis by generating tap coefficients from $C(t)$ 505 which are essentially a channel profile estimate or channel impulse response (CIR) estimate for the particular TDMA timeslot 210. A problem arises however, in that the noise, interference, and cross-correlation products degrade the tap coefficients generated and therefore the complex matched filter 400 constructed for the particular TDMA timeslot 210 does not truly represent the desired response. Thus, the noise corruption on the determined coefficients produces a degradation in performance

compared to what could be obtained if the tap coefficient estimate were perfect.

Returning to FIG. 4, the synchronization circuitry 415 "synchronizes" the correlation signal $C(t)$ 505 defined by maximizing the $C(t)$ 505 as seen on the taps. The output of the synchronization produces tap coefficients which, as stated earlier, are a CIR estimate at the time the TDMA timeslot 210 was sent. As can be seen in FIG. 4, the CIR estimate is input into a tap modification block 420 in accordance with the invention. Again, in typical MLSE receivers such as that of Ungerboeck, the CIR estimate is input directly into the complex matched filter 400 and the pre-MLSE processor 416 without undergoing any modification. Continuing, the tap modification block 420 selectively alters the CIR estimate to yield a modified and improved CIR estimate and hence, improved tap coefficients used to construct the complex matched filter. The tap modification block 420 operates as follows. The incoming CIR estimate, which represents the maximum correlation signal $C(t)$ 505 energy on the taps, is input into the tap modification block 420 where a minimum magnitude threshold 600 is set as shown in FIG. 6. In the preferred embodiment, the threshold 600 is approximately 1/2 the magnitude of the magnitude peak 515. Continuing, the CIR estimate is sampled 605 to produce the tap coefficients 610 used to generate the complex matched filter 400. For those samples 605 having tap coefficients 610 below the threshold 600, the samples are assumed to be too corrupted by noise to be of any value in the overall equalization, thus for these samples the tap coefficients are set to zero. This is the interesting aspect of the present invention. Instead of using all of the CIR estimate to determine tap coefficients 610 for the complex matched filter 400, it has been found that receiver performance can

be improved by zeroing tap coefficients 610 considered to be too noisy. The reason receiver performance is improved is because the small amount of information found in samples having tap coefficients 610 below the threshold 600 is so noisy that it is just better to throw the information away along with the noise. The modified CIR estimate resulting from this selective modification of the CIR estimate is depicted by the signal in FIG. 7. As can be seen, the tap coefficients generated from the modified CIR estimate will more accurately represent the true signal $X(t)$ and the replica signal $X(t+T)$ since the effects of noise have been mitigated. Therefore, more accurate tap coefficients of the desired response can be generated to construct the complex matched filter 400 and consequently the complex matched filter 400 can do a better job of removing phase offsets. In addition, the MLSE 405 also is provided a more true representation of the desired response for the same reasons. Since the complex matched filter 400 is a more accurate representation of the desired signal and also the MLSE 405 has a better representation of the desired signal, the equalization process in the receiver is greatly enhanced consequently resulting in significantly improved receiver performance.

It has furthermore been found that when the preferred embodiment is modified by replacing the MLSE by a data decision device, well known in the art and called a data slicer, that modifying the CIR estimate for the matched filter coefficients according to the present invention produces an improvement similar in magnitude to that of the MLSE present.

Additionally, the invention's usefulness is not limited to Ungerboeck's configuration for the equalizer. Forney proposed a configuration, before Ungerboeck's, in "Maximum Likelihood Sequence Estimation of Digital

- Sequences In The Presence of Intersymbol Interference",
G.D. Forney, *IEEE Trans. Information Theory* vol. 18, No. 3,
May, 1972, pp.363-377. In this case the revised estimate
of CIR, according to the present invention, would be passed
5 to the MLSE only, since the matched filter in Forney's
configuration is matched to an uncorrupted data symbol an
not to the channel itself.

What we claim is:

CLAIMS

1. An equalization system employed in a communication
5 system receiver to equalize a corrupted data signal, the
corrupted data signal having been detected from a radio
channel signal received by the communication system
receiver, the equalization system characterized by:
- 10 means for estimating a channel profile signal from
the corrupted data signal;
means, coupled to said means for estimating, for
modifying said channel profile signal; and
means, coupled to the corrupted data signal and said
15 means for modifying, for enhancing the corrupted data
signal.

2. The equalization system of claim 1 wherein said means for modifying said channel profile signal is further characterized by:

- 5 means for measuring the magnitude of said channel profile signal;
- means for providing a minimum magnitude threshold;

- 10 means for obtaining sections of said channel profile signal and determining which of said sections have said measured magnitude below said minimum magnitude threshold; and

- 15 means, responsive to said means for determining, for discarding said sections of said channel profile signal having said measured magnitude below said minimum magnitude threshold to produce a modified channel profile signal.

20

3. The equalization system of claim 2 wherein said means for enhancing is further characterized by a matched filter tuned from said modified channel profile signal.

- 25 4. The equalization system of claim 1 further characterized by means, coupled to said means for modifying and said means for enhancing, for estimating the most likely data signal.

- 30 5. The equalization system of claim 4 wherein said means for estimating the most likely data signal is further characterized by means for performing maximum-likelihood sequence estimation calculations.

6. The equalization system of claim 5 wherein said means for performing maximum-likelihood sequence estimation calculations is further characterized by means for performing a modified Viterbi maximum-likelihood sequence estimation algorithm.

7. The equalization system of claim 1 further characterized by means, coupled to said means for enhancing, for estimating the most likely data signal.

8. A receiver in a communication system for estimating the channel impulse response of a radio channel signal, the receiver characterized by:

- 5 means for receiving the radio channel signal;
 means, coupled to said means for receiving, for
 estimating a channel impulse signal from said received
 radio channel signal;
 means for measuring the magnitude of said channel
- 10 impulse signal;
 means for providing a minimum magnitude threshold;
 means for obtaining sections of said channel impulse
 signal and determining which of said sections have said
 measured magnitude below said minimum magnitude
- 15 threshold; and
 means, responsive to said means for determining, for
 discarding said sections to produce an estimated channel
 impulse response.

9. A method of equalization employed in a communication system receiver to equalize a corrupted data signal, the corrupted data signal having been detected from a radio channel signal received by the communication system receiver, the method characterized by the steps of:

- 5 estimating a channel profile signal from the corrupted data signal;
- modifying said channel profile signal; and
- 10 enhancing, coupled to said step of modifying, the corrupted data signal.

10. A method of equalization employed in a communication system receiver to equalize a corrupted data signal, the corrupted data signal having been detected from a radio channel signal received by the communication system receiver, the method characterized by the steps of:

- 5 estimating a channel profile signal from the corrupted data signal;
- modifying, coupled to said step of estimating, said
- 10 channel profile signal;
- enhancing the corrupted data signal;
- filtering, coupled to said step of enhancing, said
- enhanced data signal; and
- estimating, coupled to said step of filtering and said
- 15 step of modifying, the most likely data signal.

1 / 4

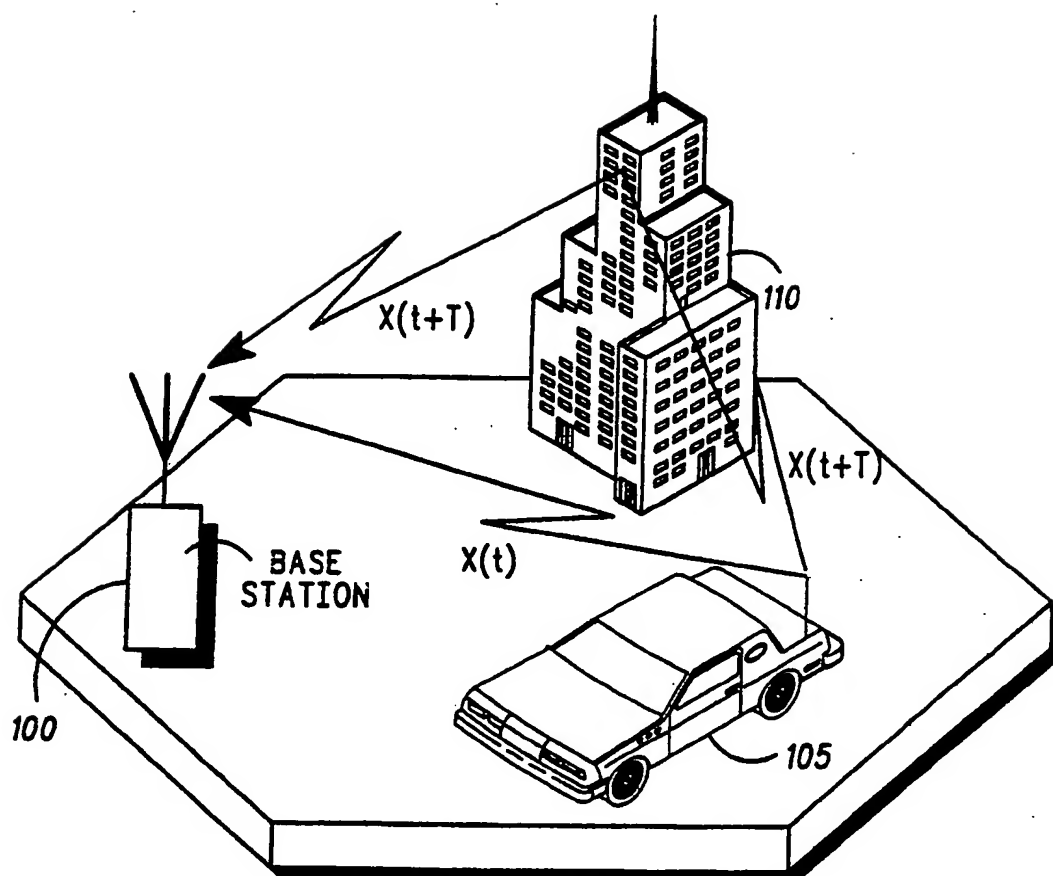


FIG. 1

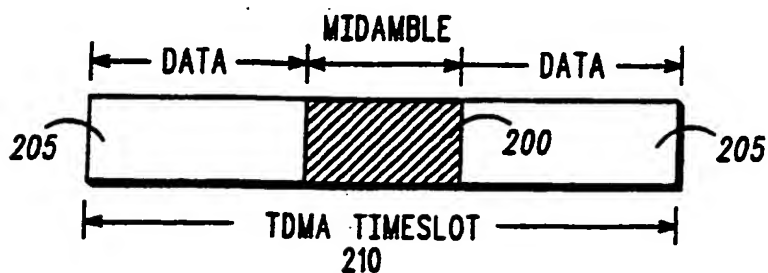


FIG. 2

2 / 4

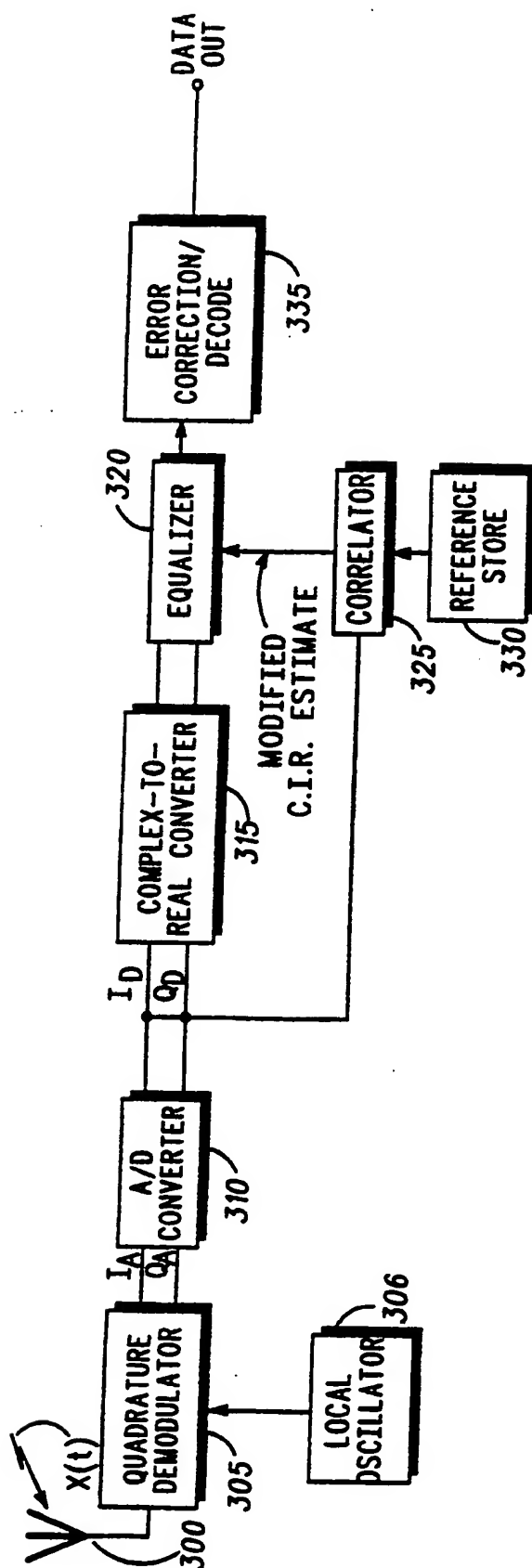


FIG. 3

3 / 4

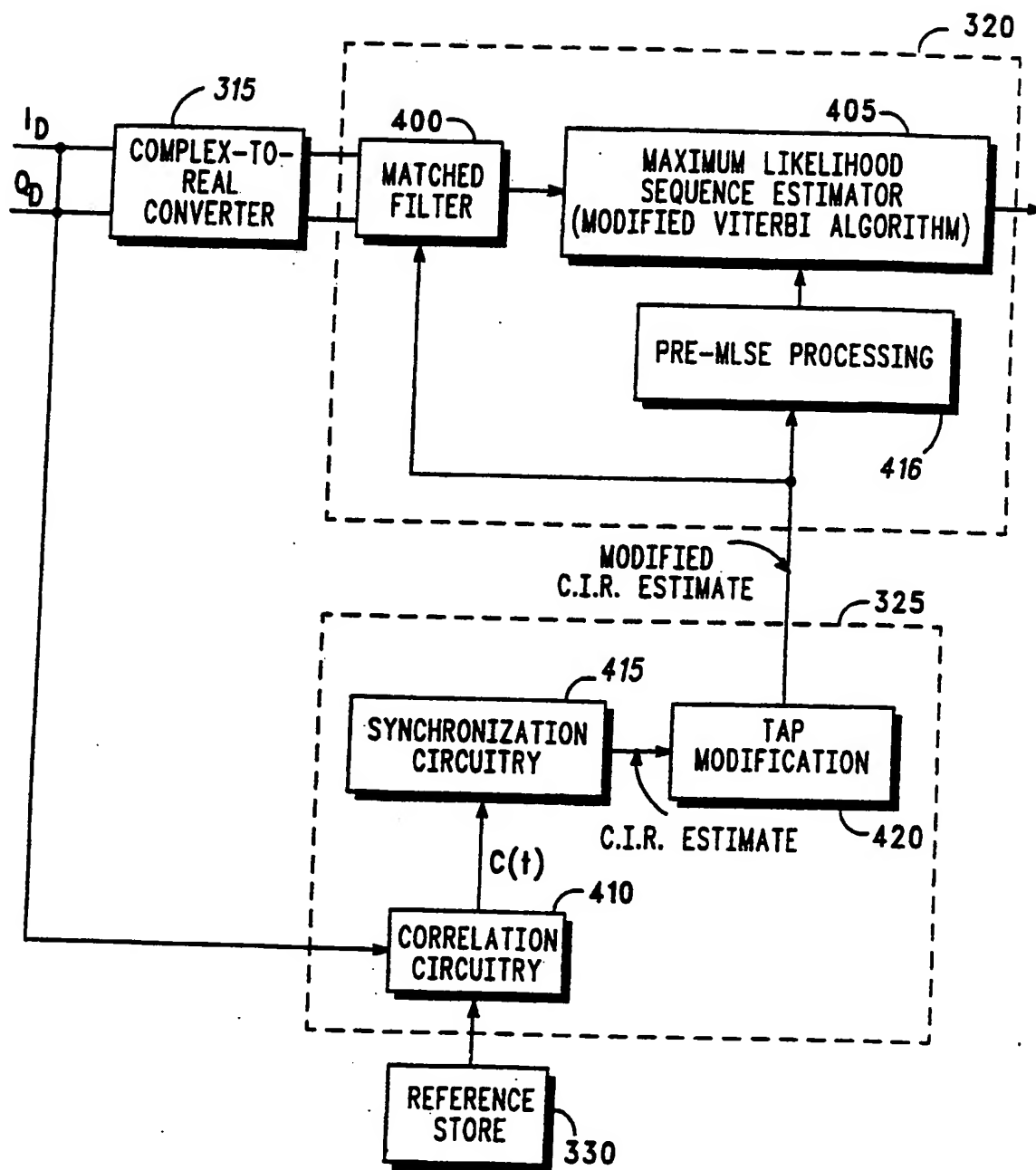


FIG. 4

4 / 4

CORRELATION MAGNITUDE

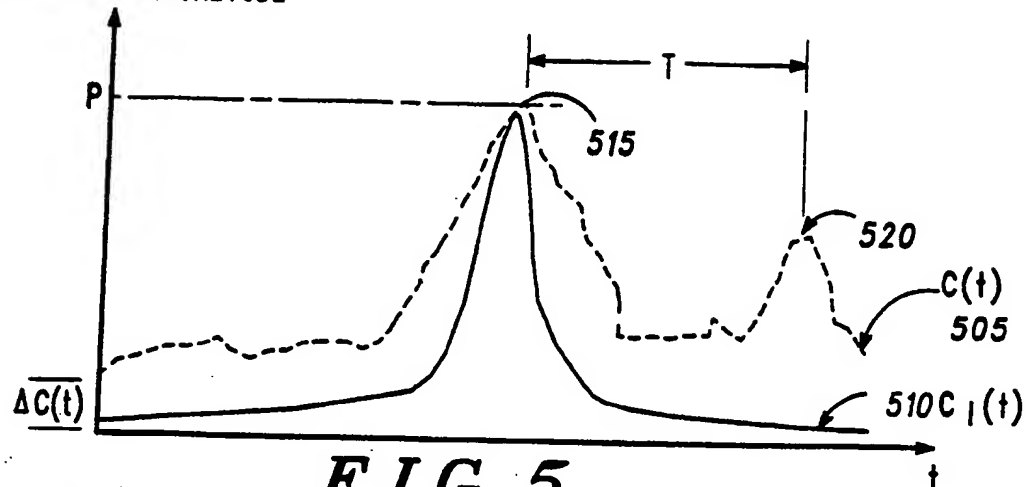


FIG. 5

CORRELATION MAGNITUDE

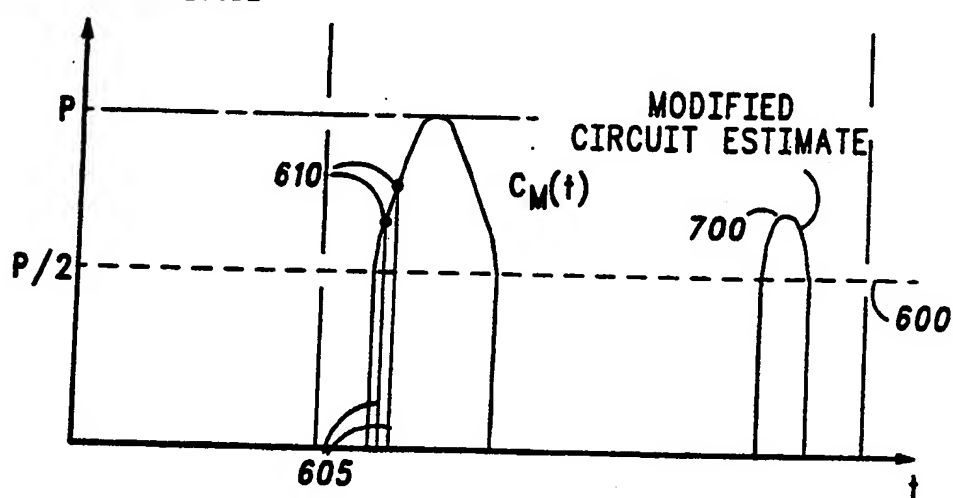


FIG. 7

CORRELATION MAGNITUDE

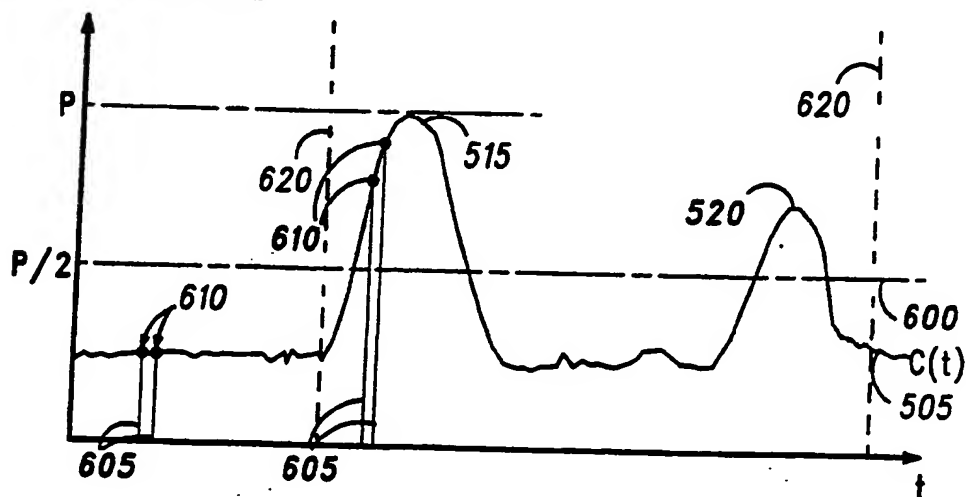


FIG. 6

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.